

Foreside Alternative Investment Services is dedicated to compliance for advisers of privately offered funds and institutional asset managers. Our team of professionals includes former CCOs, attorneys and compliance analysts. With a range of services, Foreside can meet your specific needs.

Foreside can:

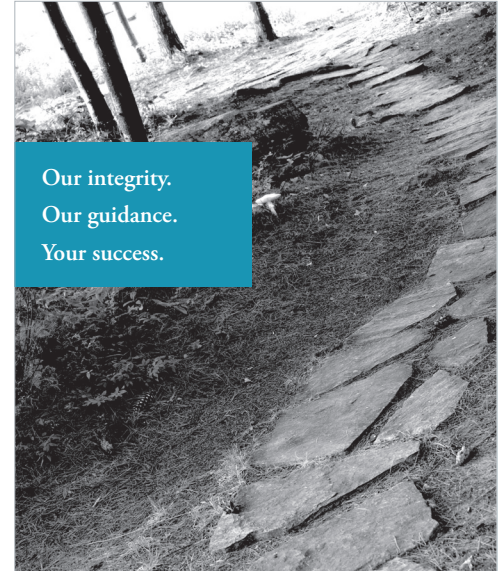
- Assist with SEC registration as an investment adviser
- Assist with preparation or review of ADV Part 2
- Support the adviser CCO
- Provide an interim CCO during a transition period
- Conduct annual compliance meetings
- Conduct mock regulatory exams
- Assist with vendor/service provider selection and oversight
- Enhance and update current compliance policies and procedures
- Create and maintain a compliance program tailored to the firm's size, culture and business including:
 - Risk assessment and gap analysis
 - Best execution/trade management reviews
 - Code of ethics/personal trading reviews
 - Email reviews
 - Annual Reviews
 - Specialized reviews and compliance assessments
 - Regulator-mandated consulting

Foreside offers compliance automation solutions:

We have partnered with Compliance11, Inc., a leading provider of compliance automation software, to provide our clients with cost-effective solutions to help administer their compliance programs. Visit www.compliance11.com to learn more details about how automation can streamline your efforts to manage compliance within your firm.

We provide tailored compliance solutions to a diverse group of clients including:

- Hedge Funds investing in U.S. and non-U.S. markets
- Funds of Funds investing in emerging hedge funds
- Private Equity Advisers
- Registered Investment Advisers to corporate, endowment, foundation and public plans



Our integrity.
Our guidance.
Your success.

Distribution and Compliance Professionals Dedicated to Your Success

Foreside is committed to delivering innovative services that not only meet current requirements, but also anticipate emerging regulatory and marketplace developments. Clients rely on us to provide the information, operational support and strategic advice that are needed to thrive in today's complex arena of investments, compliance and risk management. We collaborate with recognized service providers to ensure our clients receive informative and quality services. Foreside is not affiliated with any of its partner service providers.

Discover the Foreside Difference

Precision, expertise and integrity, combined with professional insight, position Foreside to offer cost-effective, tailored solutions that allow our clients to focus on asset management without sacrificing distribution and compliance best practices.

Interested in learning more?

Visit our website, www.foreside.com, or contact Susan Mosher, Business Head, Compliance Services, at 207.553.1953 (smosher@foreside.com) or Susan Chamberlain, Managing Director, Foreside Alternative Investment Services, at 866.251.6920 x21960 (schamberlain@foreside.com)