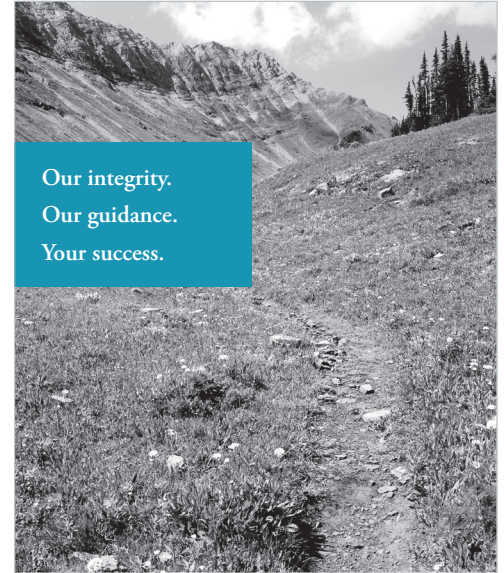


Precision, expertise and integrity, combined with professional insight, position Foreside to offer cost-effective, tailored solutions that allow our clients to focus on asset management without sacrificing distribution and compliance best practices.

Foreside is committed to delivering innovative services that not only meet current requirements, but also anticipate emerging regulatory and marketplace developments. Clients rely on us to provide the information, operational support and strategic advice that are needed to thrive in today's complex

arena of investments, compliance and risk management. We collaborate with recognized service providers to ensure that our clients receive informative and quality services. Foreside is not affiliated with any of its partner service providers.



Our integrity.
Our guidance.
Your success.

Foreside Provides Services To:

- Broker-Dealers
- CPOs/CTAs
- Exchange-Traded Products (ETPs)
- Funds of Funds
- Hedge Funds
- Investment Advisers
- Investment Bankers
- M&A Advisers
- Mutual Funds
- Private Equity Funds

Distribution	Compliance Consulting	Exchange-Traded Product Services
<ul style="list-style-type: none"> ▪ Statutory and Active Distribution ▪ M&A and Investment Banking FINRA Licensing ▪ Registered Representative Licensing and Compliance ▪ Marketing Material Review ▪ Private Placement Agent Services 	<ul style="list-style-type: none"> ▪ Fund Chief Compliance Officer Services ▪ Alternative Investment Services ▪ Sarbanes-Oxley Compliance ▪ Principal Financial Officer and Principal Executive Officer Services ▪ Anti-Money Laundering Officer Services 	<ul style="list-style-type: none"> ▪ Statutory and Active Distribution ▪ AP Management ▪ Fund Chief Compliance Officer Services ▪ Sarbanes-Oxley Compliance ▪ Principal Financial Officer and Treasurer Services

Interested in learning more?

Visit our website, www.foreside.com, or contact David Whitaker, Chief Operating Officer, at 207.553.7142 (dwhitaker@foreside.com).